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GP/2875

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                           |
|------------------------|---------------------------|
| Application Number     | 09/729,288                |
| Filing Date            | December 4, 2000          |
| First Named Inventor   | M. Scott Carpenter et al. |
| Group Art Unit         | 2875                      |
| Examiner Name          |                           |
| Attorney Docket Number | J-3129                    |

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- ☒ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts / Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below):

Remarks

IF THERE ARE ANY CHARGES, PLEASE CHARGE TO OUR DEPOSIT ACCOUNT 10-0849.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Robert A. Miller  
S. C. Johnson & Son, Inc.

Signature

Date

*[Signature]*  
Jan 15, 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 1/15/02

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*[Signature]*

Date

1/15/02

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 180

**Complete if Known**

|                      |                    |
|----------------------|--------------------|
| Application Number   | 09/729,288         |
| Filing Date          | December 4, 2000   |
| First Named Inventor | M. Scott Carpenter |
| Examiner Name        |                    |
| Group Art Unit       | 2875               |
| Attorney Docket No.  | J-3129             |

**METHOD OF PAYMENT**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                        |                          |
|------------------------|--------------------------|
| Deposit Account Number | 10-0849                  |
| Deposit Account Name   | S.C. JOHNSON & SON, INC. |

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity Code (\$) | Small Entity Code (\$) | Fee Description        | Fee Paid |
|------------------------|------------------------|------------------------|----------|
| 101 740                | 201 370                | Utility filing fee     |          |
| 106 330                | 206 165                | Design filing fee      |          |
| 107 510                | 207 255                | Plant filing fee       |          |
| 108 740                | 208 370                | Reissue filing fee     |          |
| 114 160                | 214 80                 | Provisional filing fee |          |

SUBTOTAL (1) (\$)

**2. EXTRA CLAIM FEES**

| Total Claims              | Extra Claims | Fee from below | Fee Paid |
|---------------------------|--------------|----------------|----------|
| Independent Claims        | -20** =      | X              | =        |
| Multiple Dependent Claims | -3** =       | X              | =        |

| Large Entity Code (\$) | Small Entity Code (\$) | Fee Description  |
|------------------------|------------------------|--|
| 103 18                 | 203 9                  | Claims in excess of 20                                     |
| 102 84                 | 202 42                 | Independent claims in excess of 3                          |
| 104 280                | 204 140                | Multiple dependent claim, if not paid                      |
| 109 84                 | 209 42                 | ** Reissue independent claims over original patent         |
| 110 18                 | 210 9                  | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity Code (\$) | Small Entity Code (\$) | Fee Description  | Fee Paid |
|------------------------|------------------------|--|----------|
| 105 130                | 205 65                 | Surcharge - late filing fee or oath  |          |
| 127 50                 | 227 25                 | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130                | 139 130                | Non-English specification  |          |
| 147 2,520              | 147 2,520              | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112 920*               | 112 920*               | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*             | 113 1,840*             | Requesting publication of SIR after Examiner action                        |          |
| 115 110                | 215 55                 | Extension for reply within first month                                     |          |
| 116 400                | 216 200                | Extension for reply within second month                                    |          |
| 117 920                | 217 460                | Extension for reply within third month                                     |          |
| 118 1,440              | 218 720                | Extension for reply within fourth month                                    |          |
| 128 1,960              | 228 980                | Extension for reply within fifth month                                     |          |
| 119 320                | 219 160                | Notice of Appeal   |          |
| 120 320                | 220 160                | Filing a brief in support of an appeal                                     |          |
| 121 280                | 221 140                | Request for oral hearing   |          |
| 138 1,510              | 138 1,510              | Petition to institute a public use proceeding                              |          |
| 140 110                | 240 55                 | Petition to revive - unavoidable   |          |
| 141 1,280              | 241 640                | Petition to revive - unintentional   |          |
| 142 1,280              | 242 640                | Utility issue fee (or reissue)   |          |
| 143 460                | 243 230                | Design issue fee   |          |
| 144 620                | 244 310                | Plant issue fee  |          |
| 122 130                | 122 130                | Petitions to the Commissioner  |          |
| 123 50                 | 123 50                 | Processing fee under 37 CFR 1.17(q)  |          |
| 126 180                | 126 180                | Submission of Information Disclosure Statement                             | 180.00   |
| 581 40                 | 581 40                 | Recording each patent assignment per property (times number of properties) |          |
| 146 740                | 246 370                | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 740                | 249 370                | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 740                | 279 370                | Request for Continued Examination (RCE)                                    |          |
| 169 900                | 169 900                | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180.00

**SUBMITTED BY**

|                   |                    |
|-------------------|--------------------|
| Name (Print/Type) | Robert A. Miller   |
| Signature         | <i>[Signature]</i> |

|                                   |        |
|-----------------------------------|--------|
| Registration No. (Attorney/Agent) | 26,956 |
|-----------------------------------|--------|

**Complete (if applicable)**

|           |              |
|-----------|--------------|
| Telephone | 262-260-4975 |
| Date      | 01/15/02     |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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# 9 IDS  
D/AUG 6/12  
2-20-02

J-3129  
PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: M. Scott Carpenter et al.  
Serial No: 09/729,288  
Filed: December 4, 2000  
Title: Luminary Device Decorated With Color Changing Film  
Group Art: 2875

S. C. Johnson & Son, Inc.  
1525 Howe Street, MS 077  
Racine, WI 53403-2236  
January 15, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Enclosed is a Supplemental Information Disclosure Statement submitted with respect to the patent application referred to above. The patents listed on the supplemental Information Disclosure Statement were cited in the International Search Report dated October 9, 2001 from the International Searching Authority (European Patent Office).

Please charge our deposit account No. 10-0849 the amount of \$180.00 to cover the cost of submitting the Supplemental Information Disclosure Statement after the 3-month allowed response period. Should there be any further charges involved, please charge to our deposit Account No. 10-0849.

Respectfully submitted:  
Attorney for Applicant:

by:

Robert A. Miller  
Registration No. 26,956  
(262)260-4975

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on 1/15/02

S.C. JOHNSON & SON, INC.

By Thom L. Cleary

Date 1/15/02

02/07/2002 CV0111 00000072 100849 09729288

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